



7-19-05

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EV371747032US

PATENTS  
Attorney Docket No. STK-075 CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT APPLICATION

Examiner : To be Assigned  
Group Art Unit : 1646  
Applicants : Hermann Oppermann, et al.  
Application No. : 10/816,768 Confirmation No. : 3980  
Filed : April 2, 2004  
For : MODIFIED TGF- $\beta$  SUPERFAMILY PROTEINS

Mail Stop Sequence  
Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

"EXPRESS MAIL" mailing label number EV371747032US

Date of Deposit: July 18, 2005

I hereby certify that this paper/fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Hon. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

- (1) Transmittal Letter (in duplicate);
- (2) Reply to Notice to Comply With Sequence Rules;
- (3) Statement in Support of Amendments to Sequence Listing Under 37 C.F.R. § 1.825(a) and in Support of Computer Readable Form Submission Under 37 C.F.R. § 1.825(b);
- (4) Paper copy of Substitute Sequence Listing (72 pages);
- (5) Computer Readable Form of Substitute Sequence Listing; and
- (6) Postcard.



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New York, New York 10020  
July 18, 2005

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Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith:

- [X] Reply to Notice to comply With Sequence Rules;
- [X] Statements in Support of Amendments to Sequence Listing Under 37 C.F.R. § 1.825(a) and in Support of Computer Readable Form Submission Under 37 C.F.R. § 1.825(b);
- [X] Paper Copy of Substitute Sequence Listing (72 pages); and
- [X] Computer Readable Form of Substitute Sequence Listing

to be filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

- [X] A fee for additional claims is not required.
- [ ] A fee for additional claims is required.

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	- 20 =	X	\$ 50	= \$ 0.00
INDEPENDENT CLAIMS	- 3 =	X	\$200	= \$ 0.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM		+	\$360	= \$ 0.00
				TOTAL <u>\$ 0.00</u>

- [ ] A check in the amount of \$\_\_\_\_\_ in payment of the filing fee is transmitted herewith.
- [X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075, Order No. 003443-0020. A duplicate copy of this transmittal letter is transmitted herewith.
- [ ] Please charge \$\_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

- ☐ The following extension is applicable to the Response filed herewith; ☐ \$120.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.17(a)(1); ☐ \$450.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.17(a)(2); ☐ \$1,020.00; extension fee for response within third month pursuant to 37 C.F.R. § 1.17(a)(3); ☐ \$1,590.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.17(a)(4); ☐ \$2,160.00 extension fee for response within fifth month pursuant to 37 C.F.R. § 1.17(a)(5).
- ☐ A check in the amount of ☐ \$120.00; ☐ \$450.00; ☐ \$1,020.00; ☐ \$1,590.00; ☐ \$2,160.00 in payment of the extension fee is transmitted herewith.
- ☒ The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075, Order No. 003443.0020. A duplicate copy of this transmittal letter is transmitted herewith.
- ☐ Please charge the ☐ \$120.00; ☐ \$450.00; ☐ \$1,020.00; ☐ \$1,590.00; ☐ \$2,160.00; extension fee to Deposit Account No. 06-1075, Order No. 003443.0020. A duplicate copy of this transmittal letter is transmitted herewith.



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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/816,768	04/02/2004	Hermann Oppermann	STK-075 CON

CONFIRMATION NO. 3980

## FORMALITIES LETTER



\*OC000000016048818\*

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FISH & NEAVE IP GROUP  
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 1251 AVENUE OF THE AMERICAS FL C3  
 NEW YORK, NY 10020-1105

Date Mailed: 05/18/2005

# NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

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For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ [ebc@uspto.gov](mailto:ebc@uspto.gov)

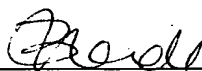
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*A copy of this notice **MUST** be returned with the reply.*



Office of Initial Patent Examination (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE